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DEANS  
INTERIM VICE CHANCELLOR CAMERON CARTER

RE: Patent Fund Allocations for 2016-17

Dear Colleagues,

I am writing to provide information about patent revenue and allocate patent funds received by campus in 2015-16. The campus received \$2.2 million in net patent revenue and \$730,000 in Research Share, a nominal increase of approximately \$16,000 compared to the prior year. Campus will be allocating nearly \$2.1 million to the Schools and Colleges, as outlined on the following attachments. The Office of Research will receive \$446,000 and central campus will use the remaining \$446,000 to support research-related campus priorities.

This year's distribution process is consistent with last year's methodology and is outlined in BIA's whitepaper, "Allocating Patent Revenues: Transitions to align with the Incentive-Based Budget Model," available on BIA's website.

The Bayh-Dole Act requires that net revenue generated from University Technology Transfer activities be directed to support research and education. Please make sure that your expenditures of these resources are consistent with this federal requirement.

Sincerely,

A handwritten signature in cursive script that reads "Kenneth C. Burtis".

Kenneth C. Burtis  
Interim Provost and Executive Vice Chancellor

cc: Assistant Deans  
Dushyant Pathak, Associate Vice Chancellor-Office of Research  
Delanda Buchanan, Executive Director of Business & Finance-Office of Research  
Kelly Ratliff, Interim Lead-Finance, Operations & Administration  
Anissa Nachman, Director of Administrative Budget and Operations-BIA  
Joe Borges, Principal Budget Analyst-BIA

<b>UCD Net Patent Revenue Allocations</b>				
<b>Category</b>	<b>2014-15</b>	<b>2015-16</b>	<b>2016-17</b>	<b>Change 16/17 v 15/16</b>
Adjusted Gross Income from Royalties and Fees	\$12,133,627	\$11,433,226	\$11,923,357	\$490,131
Legal and Other Direct Expenses	-\$2,842,292	-\$2,965,566	-\$3,962,208	-\$996,642
Reimbursements from Licensees	\$1,373,343	\$1,103,910	\$1,126,565	\$22,655
IAS Operational Expenses (OP)	-\$249,563	-\$257,491	-\$289,803	-\$32,312
Inventor Shares	-\$4,685,487	-\$4,691,575	-\$4,355,669	\$335,906
Research Share*	-\$458,161	-\$700,974	-\$647,656	\$53,318
General Fund Share	-\$1,494,798	-\$1,219,999	-\$1,183,011	\$36,988
Strawberry Portfolio Management (UCD)	-\$199,602	-\$205,328	-\$224,542	-\$19,214
Strawberry Research Fund	-\$241,448	-\$184,806	-\$142,342	\$42,464
<b>Net Patent Revenue to Campus:</b>	<b>\$3,335,619</b>	<b>\$2,311,397</b>	<b>\$2,244,691</b>	<b>-\$66,706</b>
<b>Research Share to Campus*:</b>	<b>\$700,974</b>	<b>\$647,656</b>	<b>\$730,932</b>	<b>\$83,276</b>
<b>Total to Distribute:</b>	<b>\$4,036,593</b>	<b>\$2,959,053</b>	<b>\$2,975,623</b>	\$16,570
To OR:	\$605,489	\$443,858	\$446,343	\$2,485
To Provost:	\$605,489	\$443,858	\$446,343	\$2,485
To Units:	\$2,825,615	\$2,071,337	\$2,082,936	\$11,599
<b>GF Share</b>	<b>\$1,494,798</b>	<b>\$1,219,999</b>	<b>\$1,183,011</b>	<b>-\$36,988</b>
*Research Share amounts do not tie because they reflect two different fiscal years.				
<b>School/College Patent Allocation Summary</b>				
<b>School/College</b>	<b>2014-15</b>	<b>2015-16</b>	<b>2016-17</b>	<b>Change 16/17 v 15/16</b>
BIOS	\$168,900	\$149,300	\$61,300	-\$88,000
CAES	\$1,941,700	\$1,454,700	\$1,598,600	\$143,900
CAES/COE	\$1,100	\$6,800	\$5,300	-\$1,500
COE	\$311,700	\$121,100	\$91,800	-\$29,300
MPS	\$9,800	\$21,100	\$8,400	-\$12,700
HaRCS	\$3,800	\$5,600	\$2,800	-\$2,800
SOM	\$167,800	\$70,500	\$55,000	-\$15,500
SVM	\$76,300	\$35,400	\$48,900	\$13,500
OR	\$5,000	\$5,300	\$35,000	\$29,700
ANR	\$135,100	\$200,600	\$174,500	-\$26,100
<b>Total</b>	<b>\$2,821,200</b>	<b>\$2,070,400</b>	<b>\$2,081,600</b>	<b>\$11,200</b>

UCD Net Patent Revenue Allocations To Schools/Colleges				
School/College	Department	Distribution Totals		
		2014-15	2015-16	2016-17
BIOS	Evolution and Ecology	\$4,900	\$0	
BIOS	Microbiology & Molecular Genetics	\$22,300	\$12,300	\$11,400
BIOS	Molecular & Cellular Biology	\$6,000	\$6,700	\$4,000
BIOS	Neurobiology, Physiology & Behavior	\$46,000	\$17,600	\$8,400
BIOS	Plant Biology	\$39,000	\$67,900	\$19,100
BIOS	Dean	\$50,700	\$44,800	\$18,400
<b>Subtotal BIOS</b>		<b>\$168,900</b>	<b>\$149,300</b>	<b>\$61,300</b>
CAES	Animal Science	\$1,300	\$0	\$0
CAES	Dept. of Atmospheric Science	\$0	\$0	\$700
CAES	Entomology	\$0	\$0	\$900
CAES	Environmental Toxicology	\$1,600	\$1,900	\$1,200
CAES	Food Science and Technology	\$1,300	\$3,300	\$1,200
CAES	Nutrition	\$0	\$0	\$2,700
CAES	Plant Pathology	\$0	\$0	\$1,400
CAES	Plant Sciences	\$1,339,000	\$991,700	\$1,099,700
CAES	Textiles & Clothing	\$14,900	\$9,000	\$11,100
CAES	Viticulture and Enology	\$0	\$12,400	\$0
CAES	Dean	\$583,600	\$436,400	\$479,700
<b>Subtotal CAES</b>		<b>\$1,941,700</b>	<b>\$1,454,700</b>	<b>\$1,598,600</b>
CAES/COE	Bio and Ag Engineering	\$800	\$4,800	\$3,700
CAES/COE	Dean	\$300	\$2,000	\$1,600
<b>Subtotal CAES/COE</b>		<b>\$1,100</b>	<b>\$6,800</b>	<b>\$5,300</b>
COE	Chemical Engineering & Materials Science	\$1,500	\$1,200	\$700
COE	Computer Science	\$10,300	\$9,300	\$13,200
COE	Electrical & Computer Engineering	\$170,100	\$53,600	\$28,500
COE	Mechanical and Aerospace Engineering	\$36,300	\$20,700	\$22,000
COE	Dean	\$93,500	\$36,300	\$27,400
<b>Subtotal COE</b>		<b>\$311,700</b>	<b>\$121,100</b>	<b>\$91,800</b>
MPS	Chemistry	\$6,100	\$14,800	\$5,900
MPS	Math	\$800	\$0	\$0
MPS	Dean	\$2,900	\$6,300	\$2,500
<b>Subtotal MPS</b>		<b>\$9,800</b>	<b>\$21,100</b>	<b>\$8,400</b>
HaRCS	California Lighting Center	\$2,700	\$3,900	\$2,000
HaRCS	Dean	\$1,100	\$1,700	\$800
<b>Subtotal HaRCS</b>		<b>\$3,800</b>	<b>\$5,600</b>	<b>\$2,800</b>

Unit Allocations cont.				
School/College	Department	2014-15	2015-16	2016-17
SOM	Human Anatomy & Cell Biology	\$0	\$0	\$1,700
SOM	Internal Medicine	\$26,600	\$29,800	\$13,000
SOM	Neurology	\$4,200	\$4,800	\$9,000
SOM	Ophthalmology	\$7,300	\$2,800	\$9,400
SOM	Otolaryngology	\$0	\$2,300	\$800
SOM	Pathology	\$2,200	\$1,200	\$1,300
SOM	Pharmacology	\$8,200	\$8,200	\$2,900
SOM	Radiology	\$68,500	\$0	\$0
SOM	Dean	\$50,800	\$21,400	\$16,900
<b>Subtotal SOM</b>		<b>\$167,800</b>	<b>\$70,500</b>	<b>\$55,000</b>
SVM	Anatomy, Physiology & Cellular Bio	\$3,900	\$9,600	\$1,300
SVM	Center for Companion Animal Health	\$3,000	\$0	\$0
SVM	Molecular Biosciences	\$0	\$0	\$1,400
SVM	Medicine & Epidemiology	\$40,000	\$13,900	\$23,800
SVM	Population Health & Reproduction	\$6,400	\$1,300	\$7,700
SVM	Dean	\$23,000	\$10,600	\$14,700
<b>Subtotal SVM</b>		<b>\$76,300</b>	<b>\$35,400</b>	<b>\$48,900</b>
OR	McClellan Nuclear Radiation	\$3,500	\$3,700	\$24,500
OR	VC-R	\$1,500	\$1,600	\$10,500
<b>Subtotal OR</b>		<b>\$5,000</b>	<b>\$5,300</b>	<b>\$35,000</b>
<b>ANR</b>		<b>\$135,100</b>	<b>\$200,600</b>	<b>\$174,500</b>
<b>Total</b>		<b>\$2,821,200</b>	<b>\$2,070,400</b>	<b>\$2,081,600</b>